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Development of a preliminary conceptual model of the patient experience of chronic kidney disease: a targeted literature review and analysis

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Abstract

Background: Patient-reported outcome (PRO) instruments should capture the experiences of disease and treatment that patients consider most important in order to inform patient-centred care and product development. The aim of this study was to develop a preliminary conceptual model of patient experience in chronic kidney disease (CKD) based on a targeted literature review and to characterize existing PRO instruments used in CKD.

Methods: PubMed, EMBASE and Cochrane databases and recent society meetings were searched for publications reporting signs/symptoms and life impacts of CKD. Concepts identified in the literature review were used to develop a preliminary conceptual model of patient experience of CKD, overall, and within patient subpopulations of differing CKD causes, severities and complications. PRO instruments, identified from PRO databases, CKD literature and CKD clinical trials, were assessed for content validity, psychometric strength and coverage of concepts in the literature review.

Results: In total, 100 publications met criteria for analysis; 56 signs/symptoms and 37 life impacts of CKD were identified from these sources. The most frequently mentioned signs/symptoms were pain/discomfort (57% of publications) and tiredness/low energy/lethargy/fatigue (42%); the most commonly reported life impacts were anxiety/depression (49%) and decrements in physical functioning (43%). Signs/symptoms and life impacts varied across the subpopulations and were more frequent at advanced CKD stages. The preliminary conceptual model grouped signs/symptoms into seven domains (pain/discomfort; energy/fatigue; sleep-related; gastrointestinal-related; urinary-related; skin-/hair-/nails-related; and other) and life impacts into six domains (psychological/emotional strain; cognitive impairment; dietary habit disruption; physical function decrements; interference with social relationships; and other). Eleven PRO instruments were considered to be promising for use in CKD; all had limitations.

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Conclusions: Although preliminary, the proposed conceptual model highlights key PROs for people with CKD and is intended to spur development of more tailored PRO instruments to assess these concepts.

Keywords: Chronic kidney disease (CKD), Conceptual model, Health-related quality of life (HRQOL), Patient experience, Patient-reported outcome (PRO)

Background

Chronic kidney disease (CKD), defined as an estimated glomerular filtration rate (eGFR) of less than 60 mL/min per 1.73m² and/or markers of kidney damage that have been present for more than 3 months [1], is a serious global health issue. The prevalence of CKD is high, affecting an estimated 9.1% of the world's population (approximately 700 million people) in 2017 [2], and the health impacts of CKD are substantial in terms of both mortality and morbidity [3]. The ranking of CKD among leading causes of death has continued to rise, in parallel with an increasing burden of risk factors including diabetes, hypertension and obesity; in 2017, there were an estimated 1.2 million deaths from CKD worldwide, and CKD was ranked as the twelfth leading cause of death, compared with the seventeenth leading cause of death in 1990 [2]. Moreover, CKD has a considerable impact on patient functioning and health-related quality of life (HRQOL) [3], and such impairments worsen as kidney function declines [4–9].

In recent years, there has been increasing recognition of the importance of capturing the patient experience of disease and treatment in both regulatory and clinical domains [10–13]. Better understanding of patients' experiences can facilitate dialogue between patients and clinicians and inform treatment decisions, as well as provide important information for regulators, payers, clinicians and drug developers, supplementary to traditional efficacy and safety data. Patient-reported outcome (PRO) instruments serve this function; however, to be meaningful, such instruments must accurately capture concepts that are both relevant and important to their target population [12, 14, 15].

A wide range of generic and disease-specific PRO instruments have been used in CKD [16–18]. However, there is lack of consensus regarding the optimal PRO instruments to capture CKD patient experiences [18, 19]. Furthermore, it is plausible that CKD subpopulations such as individuals with differing disease causes, severities and complications may have different experiences and thus require nuanced PRO instruments [20–23]. To-date, there has been less focus on PRO instruments in such CKD subpopulations.

The aims of this study were to identify the most common patient-reported signs/symptoms and life impacts of CKD through a targeted literature review and to use the findings from the literature review to develop a

preliminary conceptual model of the signs/symptoms and life impacts of CKD in the overall CKD population and in select subpopulations. We also sought to identify and characterize PRO instruments previously used to measure CKD patient experiences and to map them to the signs/symptoms and life impacts identified in the literature analysis.

Methods

Literature searches and screening

A comprehensive literature search was conducted in the PubMed database to identify articles reporting signs/symptoms of CKD and their impact on HRQOL. The search strategy combined terms related to disease, outcome and study design to identify potentially relevant references. Search terms for disease included “chronic kidney disease”, “end-stage renal disease”, “microalbuminuria”, “macroalbuminuria” and “dialysis”, while those for outcome included “quality of life”, “patient reported outcome measures” and “health utility” plus a number of named PRO instruments. The terms for study design included “observational study”, “interventional study”, “RCT”, “systematic review”, “patient interview” and “patient experience” (see **Supplementary Table S1** for full search details).

The searches were conducted on 6 November 2019 and were restricted to English language publications and to articles published in the last 10 years. Additional literature searches were conducted in the EMBASE and Cochrane databases to identify (using the same predefined criteria) potential references that were not identified in PubMed. Recent annual society meetings (2018 and 2019), including the American Society of Nephrology, the European Renal Association–European Dialysis and Transplant Association, the International Society for Pharmacoeconomics and Outcomes Research and the International Society of Nephrology, were also searched for relevant congress abstracts.

The search results were initially screened for relevance by title, then potentially relevant references were screened by abstract to select articles for full text review based on predefined criteria (see **Supplementary Table S2** for details). For inclusion, publications had to include patients with CKD and had to report signs/symptoms and/or life impacts of CKD and/or its treatments. Interventional, observational and epidemiological studies were included, as were reviews, instrument evaluation or

development studies, patient interview studies and expert guidelines. Editorials and case reports were excluded. Article screening was performed by three reviewers (P. Cordero [PC; author], N. Singhal [NS] and S. Bondugula [SB]; all IQVIA) experienced in conducting qualitative research and systematic literature searches. A sample of 100 publications was jointly assessed to ensure alignment across reviewers. Priority was given to qualitative research articles and those including patients from the subpopulations of interest (described below). The agreement of at least two reviewers was required for publications to be included in the analysis.

Literature analysis and conceptual model development

Signs/symptoms and life impacts of CKD were extracted from the selected publications to inform the development of a preliminary conceptual model of the effects of CKD on patients and their HRQOL. The occurrence of all patient-reported signs/symptoms and life impacts in the articles was recorded, and data on prevalence and severity were collected when available.

Analyses were conducted for all patients with CKD, and then repeated in the prespecified subpopulations of CKD causes (diabetes), CKD complications (anaemia, hyperkalaemia and cardiovascular) and CKD severity (stages 1–3, 4–5 and dialysis-dependent).

Data extraction was performed manually by three reviewers (PC, NS and SB), and a sample of articles (~10%) was checked by one of the other reviewers; any disagreements were resolved through discussion until consensus was reached. Based on the concepts extracted from the literature, a preliminary conceptual model of the patient experience of CKD was developed. Signs/symptoms and life impacts were identified, and related concepts were grouped. Finally, findings were compared across CKD patient subpopulations.

PRO instrument searches and screening

Four data sources were used to identify PRO instruments: [ClinicalTrials.gov](https://www.clinicaltrials.gov/); ePROVIDE databases (including PROQOLID and PROLABELS); articles from the literature searches (above); and selected literature reviews and reports.

[ClinicalTrials.gov](https://www.clinicaltrials.gov/) was searched for phase 2, 3 or 4 studies in CKD using PRO instruments. The search was limited to trials first posted between October 2014 and October 2019, and studies that were either suspended, terminated or withdrawn were excluded. A reviewer (SB, supervised by NS) manually searched the study outcomes to identify all clinical trials that used PRO endpoints. PRO instruments were also identified from the PROQOLID database using disease-related search terms adapted from the literature searches (above). The same terms were also used to search the PROLABELS

database, and a reviewer (PC) manually searched the outcomes to identify PRO instruments included in the product labelling that were considered to be potentially relevant for CKD. Additional PRO instruments were obtained from the targeted literature review as well as five key published literature reviews [16, 24–27] and one report [28].

Duplicate PRO instruments were excluded, as were instruments unrelated to CKD or any of its related signs/symptoms and life impacts, and those outside the scope of the project (e.g. for paediatric or transplant patients). The remaining candidate PRO instruments were categorized according to their content and targeted population.

The final selection of PRO instruments for analysis was a qualitative process, taking into account the frequency of appearances of PRO instruments in: psychometric-based articles in CKD (identified from PubMed); the 100 publications selected for full text analysis plus the published literature reviews [16, 24–27] and key report [28]; and the CKD trials identified from [ClinicalTrials.gov](https://www.clinicaltrials.gov/). It also took into account whether or not the PRO instruments appeared in CKD-related submissions in the Health Technology Assessment (HTA) Accelerator database (an IQVIA database containing information from HTA reports from more than 26,000 payer publications in 250 diseases across 100 agencies and 40 countries).

PRO instrument analysis

The PubMed and PROQOLID databases, together with PRO instrument and society internet resources, were searched for articles providing development history, content validity and in-depth psychometric performance information for the selected PRO instruments. Data were extracted from two or three key articles for each instrument for analysis, including content and psychometric assessments (see **Supplementary Table S3** for full details), based on the principles set out in the Consensus-Based Standards for the Selection of Health Measurement Instruments (COSMIN) guideline for assessing PRO measures [29]. Content validity strength was categorized as strong, medium or weak based on the development process (i.e. whether the instrument was developed for kidney diseases, and whether the development process involved patients, literature reviews and clinician experts), and psychometric strength was categorized as strong, medium or weak based on the number of psychometric properties evaluated that met the predefined thresholds (Table 1 and **Supplementary Table S3**) [30–34].

The PRO instruments were also assessed for coverage of the signs/symptoms and life impacts of CKD identified by conceptual modelling for each of the patient subpopulations, as well as for their presence in kidney

Table 1 Criteria used to assess content validity strength and psychometric strength

	Content validity strength	Psychometric strength
Measures	<ul style="list-style-type: none"> • Target patient indication • Development process <ul style="list-style-type: none"> – Literature reviews (concepts or instruments) – Expert input/interviews – Patient participation (concept elicitation and/or cognitive debriefing interviews) 	<ul style="list-style-type: none"> • Internal consistency reliability [30] <i>Cronbach's alpha > 0.7</i> • Reproducibility/test-retest reliability [31, 32] <i>ICC > 0.7</i> • Construct validity [33] <i>Correlation coefficients range from ≥ 0.3 to ≥ 0.6</i> • Minimum clinically important difference [34] <i>Value(s) reported for PRO instrument</i> • Ability to detect change <i>Demonstrated for PRO instrument</i>
Scoring		
<i>Strong</i>	<ul style="list-style-type: none"> • Developed for target indication • Development process with involvement of patients, literature reviews and clinician experts 	• 4 or 5 psychometric properties meeting threshold values
<i>Medium</i>	<ul style="list-style-type: none"> • Developed for target indication • Development process lacking patient input 	• 2 or 3 psychometric properties meeting threshold values
<i>Weak</i>	<ul style="list-style-type: none"> • Not developed for target indication OR • Just one type of input in the development process 	• 0 or 1 psychometric properties meeting threshold values

Threshold values for psychometric strength are shown in italics
ICC intraclass correlation coefficient, PRO patient-reported outcome

disease-related clinical trials based on the [ClinicalTrials.gov](https://www.clinicaltrials.gov) search and for their presence in product labelling from the US Food and Drug Administration (FDA) and/or the European Medicines Agency (EMA) that supports labelling claims based on the PROLABELS database search.

Results

Literature searches and screening

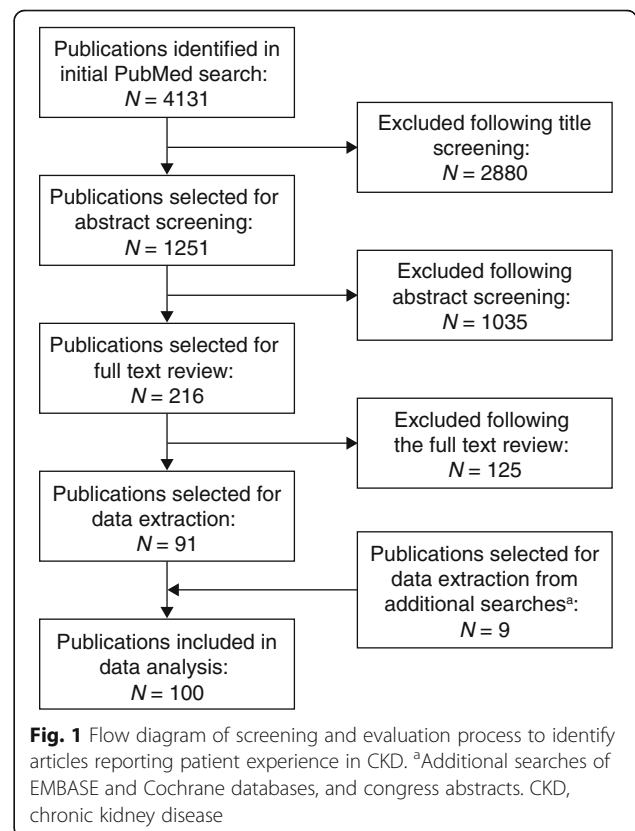
The initial PubMed literature search identified 4131 articles for screening (Fig. 1). In total, 1251 publications were selected as being potentially relevant after an initial title screen, of which 216 were chosen for full text review after screening by abstract. Of these 216 articles, 91 were selected for data extraction. A further nine publications identified from the additional literature searches were added, yielding a total of 100 publications for analysis.

Studies focusing primarily on PRO instruments as endpoints made up just over half (57%) of the publications selected for data extraction. Qualitative studies accounted for 20% of articles, while review articles made up a further 15% of publications. Of the selected articles, 58 were published in the last 3 years of the search period (2017–2019). Twenty articles presented data from multiple countries, with the remaining 80 publications each reporting information from just one country (covering 23 countries in total), of which the USA ($n = 20$), the UK ($n = 13$), Australia ($n = 9$) and Canada ($n = 6$) were the most common. Some of the selected publications provided data for more than one patient subpopulation, so the subpopulation analyses involved a total of 115 individual patient groups. No publications were identified that focused specifically on CKD patients with

hyperkalaemia or cardiovascular complications, so these subpopulations were excluded from further consideration.

Literature analysis

For the overall CKD population, we identified 56 signs/symptoms and 37 life impacts. Similar signs/symptoms



and life impacts were combined, yielding 40 signs/symptoms and 28 life impacts for analysis (see **Supplementary Tables S4** and **S5** for details).

The signs/symptoms most frequently reported were pain/discomfort (57%) and tiredness/low energy/lethargy/fatigue (42%). Disturbed sleep/sleep-related problems and itching/skin problems were reported in 28 and 25% of publications, respectively (Table 2). The highest reported prevalence exceeded 70% for all four signs/symptoms, although values varied widely across the publications (pain/discomfort, 10–73%; tiredness/low energy/lethargy/fatigue, 31–100%; disturbed sleep/sleep-

related, 14–94%; itching/skin problems, 6–89%) (**Supplementary Table S4**). The most commonly reported life impacts of CKD were anxiety/depression (49%), physical functioning decrement (43%), emotional distress (37%) and social interruption (34%) (Table 2). The range of prevalence values for each of these impacts was 5–83%, 14–83%, 34–82% and 5–60%, respectively (**Supplementary Table S5**).

The signs/symptoms and life impacts experienced by patients varied across the CKD subpopulations (Table 2 and **Supplementary Tables S6** and **S7**). Pain/discomfort was prominent across all subpopulations and was

Table 2 Frequency of mentions and prevalence of signs/symptoms and life impacts in the selected publications

	Overall (N = 100)		Subpopulations ^a											
	Freq.	Prev.	General (N = 48)		Diabetes (N = 11)		Anaemia (N = 7)		CKD 1–3 (N = 9)		CKD 4–5 (N = 14)		Dialysis (N = 26)	
Signs/symptoms ^b			Freq.	Prev.	Freq.	Prev.	Freq.	Prev.	Freq.	Prev.	Freq.	Prev.	Freq.	Prev.
Pain/discomfort	57	73	58	75	36	NR	71	68	44	60	57	100	73	75
Tiredness/low energy/lethargy/fatigue	42	100	31	100	27	NR	86	50	22	24	36	76	62	71
Disturbed sleep/sleep-related problems	28	94	33	94	–	–	–	–	11	55	29	66	38	80
Itching/skin problems	25	89	17	89	18	NR	–	–	22	NR	29	84	42	83
Muscular pain/cramps	18	89	17	89	18	44	–	–	11	55	7	83	31	69
Appetite loss/anorexia	18	67	15	67	9	NR	–	–	–	–	21	32	35	53
Nausea	18	59	8	38	18	NR	–	–	–	–	21	59	38	42
Dyspnoea/shortness of breath	17	80	12	67	9	36	14	NR	–	–	21	80	31	66
Feeling unwell	7	55	4	NR	27	52	–	–	11	NR	7	NR	8	36
Dizziness	7	50	–	–	–	–	–	–	–	–	–	–	27	50
Life impacts ^b														
Anxiety/depression	49	83	56	39	27	83	14	NR	55	33	43	65	54	57
Mental impact	26	26	27	21	55	26	43	NR	–	–	7	NR	23	NR
Mood change/irritability	12	50	10	50	36	NR	–	–	11	NR	14	NR	8	24
Physical functioning	43	83	52	83	55	NR	86	NR	22	61	14	NR	35	14
Emotional interference	37	82	35	82	45	NR	43	NR	–	–	14	NR	54	45
Social relationship interference	34	60	31	89	64	NR	57	83	11	26	7	NR	38	28
Cognitive impairment (memory, concentration, confusion)	27	61	31	71	9	36	–	–	33	14	14	NR	35	52
General health perception	26	36	27	19	27	NR	57	NR	11	NR	7	NR	31	36
ADL/daily/regular activities	24	80	25	46	–	–	28	83	33	49	14	80	38	60
Diet/food changes/related	23	83	23	74	36	43	–	–	–	–	7	40	27	48
Vitality	23	60	19	24	36	NR	86	60	22	NR	14	NR	23	NR
Mobility problems	16	100	21	61	9	NR	14	NR	33	45	21	100	19	70
Self-care issues	10	88	8	NR	9	NR	28	NR	22	18	21	68	12	88
Activity impairment	9	89	6	89	18	NR	43	22	11	52	7	48	12	52

Freq. (frequency): values indicate the proportion (%) of publications in which each sign/symptom or life impact was mentioned (–, not mentioned)

Prev. (prevalence): values indicate the highest observed prevalence (%) of the signs/symptoms or life impacts in the publications (–, not mentioned). Prevalence values of 50% or above are highlighted in bold

^aPublications could report data for more than one subpopulation

^bSigns/symptoms and life impacts mentioned in at least 25% of the publications for any subpopulation were included
ADL activities of daily living, CKD chronic kidney disease, freq. frequency, prev. prevalence, NR not reported

mentioned in 36–73% of publications, with prevalence values ranging from 60 to 100% across the subpopulations with available data. Mentions of pain/discomfort were more frequent among publications evaluating patients with anaemia and those with diabetic CKD (71 and 36%, respectively); no publication mentioned sleep-related problems in these two subpopulations (Table 2).

Pain/discomfort, anxiety/depression, impacts on daily or regular activities, mobility problems and cognitive impairment were reported in at least 33% of publications in patients with CKD stages 1–3 (Table 2). Analysis across CKD stages showed that the number of signs/symptoms and life impacts increased with increasing disease severity. Patients with CKD stages 1–3 experienced 12 signs/symptoms and 13 life impacts compared with 27 and 18, respectively, among patients with CKD stages 4–5, and 35 and 28, respectively, among dialysis patients (Supplementary Tables S6 and S7). Mentions of specific signs/symptoms increased in frequency with disease severity. For example, pain/discomfort and energy/fatigue-related signs/symptoms were mentioned in 73 and 62% of publications, respectively, for the dialysis population, compared with 57 and 36%, respectively, for the CKD stages 4–5 population and 44 and 22%, respectively, for the CKD stages 1–3 population (Table 2). Similar patterns were observed for life impacts of CKD.

Preliminary conceptual model development

Based on the literature review findings, we developed a preliminary conceptual model summarizing the signs/symptoms and life impacts experienced by patients with CKD. Figure 2 shows the signs/symptoms and life-impacts for patients with CKD, overall, and within the six subpopulations.

The signs/symptoms were grouped into seven domains: pain/discomfort, energy/fatigue, sleep-related, gastrointestinal-related, urinary-related, skin-/hair-/nails-related and other. Other signs/symptoms included frailty and fractures, swelling (oedema), vision problems and numbness. Life impacts were grouped into six domains: psychological/emotional strain, cognitive impairment, dietary habit disruption, physical function decrements, interference with social relationships and other (which included poor perception of general health and financial stress).

Identification and analysis of PRO instruments

We identified 138 candidate PRO instruments assessing CKD experiences. Generic concept-specific instruments were the most common PRO instruments (n = 75; 54%), with generic HRQOL instruments accounting for an additional 39 instruments (28%). There were 24 kidney disease-specific instruments (17%), of which 17 (12%

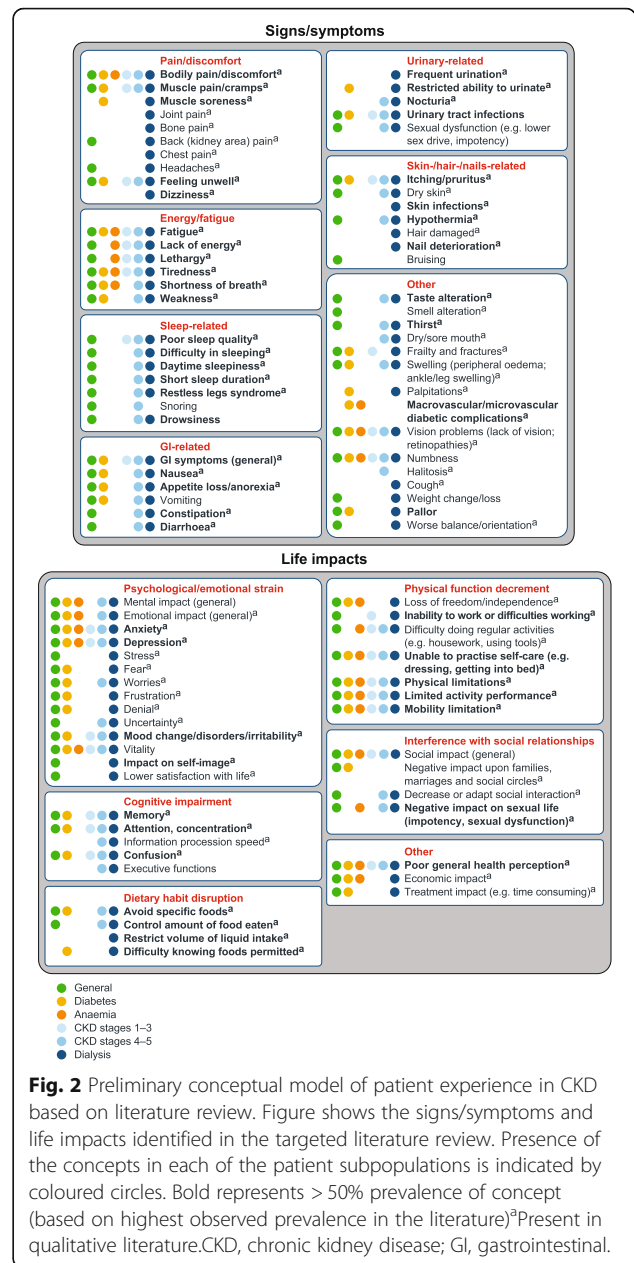


Fig. 2 Preliminary conceptual model of patient experience in CKD based on literature review. Figure shows the signs/symptoms and life impacts identified in the targeted literature review. Presence of the concepts in each of the patient subpopulations is indicated by coloured circles. Bold represents > 50% prevalence of concept (based on highest observed prevalence in the literature)^aPresent in qualitative literature.CKD, chronic kidney disease; GI, gastrointestinal.

overall) assessed HRQOL and 7 (5%) evaluated specific disease concepts (Supplementary Table S8).

Of the 138 candidate PRO instruments, 35 were selected for full analysis based on frequency of appearance in the CKD literature and in CKD clinical trials, and their presence in CKD-related submissions in the HTA Accelerator database. Table 3 summarizes the selected instruments and their associated psychometric properties, and content validity, and coverage of signs/symptoms and life impacts identified by the conceptual modelling.

Analysis of the generic HRQOL instruments showed that the 36-Item Short-Form Survey (SF-36) provided

Table 3 Summary of selected PRO instruments (N = 35), including psychometric strength, content validity and concept coverage

	Generic HRQOL				Generic concept-specific					
	SF-36	EQ-5D	BDI (BDI-II)	PHQ (PHQ-9)	HADS	TMT	HAP	RAPA ^a	QDIS-7 ^a	
Time to completion	5–10 min	8 min	5–10 min	10 min	2–5 min	5–10 min	5–10 min	< 2 min	< 1 min	
Items	36	5 + 1 VAS (20 cm)	21	9	14	2 section	102	9	7	
Recall	Past 4 wks/ past wk	Today	2 wks/ including today	Past month	Past wk	Present	7 d	NR	Past 4 wks	
Languages	160	181	73	86	116	46	NA	NR	NR	
Presence in trials	Yes	Yes	Yes	Yes	No	No	No	No	No	
Presence in labels	Yes	Yes	Yes	No	Yes	No	No	No	No	
Psychometric strength	Strong	Moderate	Weak	Weak	Moderate	Weak	Moderate	Moderate	Moderate	
Population	CKD with anaemia	Pre-dialysis CKD	CKD or dialysis	Dialysis	Dialysis	Dementia	CKD-dialysis	Healthy adults	CKD	
Content validity	Weak	Weak	Weak	Weak	Weak	Weak	Weak	Weak	Weak	
Population	Generic	Generic	Depression	Anxiety	Anxiety/ depression	Brain injury	Lung disease	Generic	Generic	
Signs/symptoms										
General	2/30	1/30	5/30	3/30	0/30	0/30	1/30	1/30	2/30	
Diabetes	2/19	1/19	2/19	2/19	0/19	0/19	1/19	1/19	1/19	
Anaemia	2/6	1/6	1/6	1/6	0/6	0/6	1/6	0/6	1/6	
CKD 1–3	2/12	1/12	2/12	2/12	0/12	0/12	0/12	0/12	2/12	
CKD 4–5	2/26	1/26	4/26	3/26	0/26	0/26	1/26	1/26	2/26	
Dialysis	2/31	1/31	5/31	3/31	0/31	0/31	1/31	1/31	2/31	
Life impacts										
General	8/28	5/28	9/28	7/28	5/28	2/28	2/28	1/28	12/28	
Diabetes	7/20	4/20	6/20	6/20	3/20	1/20	1/20	1/20	9/20	
Anaemia	6/14	4/14	4/14	5/14	2/14	0/14	2/14	1/14	7/14	
CKD 1–3	6/13	5/13	6/13	5/13	2/13	2/13	2/13	1/13	9/13	
CKD 4–5	7/18	5/18	6/18	6/18	3/18	2/18	2/18	1/18	10/18	
Dialysis	8/28	5/28	9/28	7/28	5/28	2/28	2/28	1/28	12/28	
Generic concept-specific										
BPI	FACIT-F	PSQI	SKINDEX	RLS-6	CSI	FACT-An ^b	HGS	WPAI	FAACT ^b	SDS (Zung) ^a
Time to completion	8–10 min	5–10 min	NR	NR	NR	10 min	NR	NR	5–10 min	10 min
Items	40	24	10	6	33	47	NR	6	39	20

Table 3 Summary of selected PRO instruments (N = 35), including psychometric strength, content validity and concept coverage (Continued)

	Generic HRQOL					Generic concept-specific					HAP	RAPA ^a	QDIS-7 ^a
	SF-36	EQ-5D	BDI (BDI-II)	PHQ (PHQ-9)	HADS	TMT	HAP	RAPA ^a	QDIS-7 ^a				
Recall	Past wk	Past 7 d	Past month	7 d/ nights	Past 6 months	Past 7 d	NR	Current/ past 7 d	Past 7 d	In general			
Languages	52	NR	77	NR	NA	53	NR	70	31	NR			
Presence in trials	No	Yes	Yes	Yes	No	Yes	No	No	Yes	No			
Presence in labels	Yes	Yes	Yes	No	No	Yes	Yes	Yes	No	No			
Psychometric strength	Moderate	Moderate	Moderate	Moderate	Weak	Strong	Moderate	Weak	Weak	Weak			
Population	CKD-dialysis	ESRD-dialysis	Renal transplant	Skin diseases	Dialysis	CKD with anaemia	CKD-dialysis	Anaemia	Cancer/HIV with anorexia	Depression			
Content validity	Weak	Weak	Weak	Weak	Weak	Weak	Weak	Weak	Weak	Weak			
Population	Pain	Chronic disease	Sleep disorder	Pruritus	Generic	Anaemia	Generic	Anaemia	Anorexia/cachexia	Depression			
Signs/symptoms													
General	3/30	2/30	5/30	3/30	0/30	7/30	1/30	0/30	6/30	5/30			
Diabetes	2/19	1/19	2/19	1/19	0/19	4/19	1/19	0/19	5/19	1/19			
Anaemia	1/6	1/6	2/6	1/6	0/6	3/6	0/6	0/6	2/6	1/6			
CKD 1–3	2/12	2/12	2/12	2/12	0/12	3/12	0/12	0/12	2/12	2/12			
CKD 4–5	2/26	2/26	4/26	3/26	0/26	6/26	1/26	0/26	6/26	5/26			
Dialysis	3/31	2/31	4/31	3/31	0/31	8/31	1/31	0/31	7/31	5/31			
Life impacts													
General	6/28	3/28	3/28	6/28	2/28	9/28	0/28	1/28	10/28	4/28			
Diabetes	4/20	2/20	2/20	4/20	2/20	6/20	0/20	0/20	7/20	3/20			
Anaemia	3/14	2/14	2/14	4/14	1/14	6/14	0/14	0/14	6/14	3/14			
CKD 1–3	5/13	2/13	2/13	5/13	2/13	5/13	0/13	1/13	4/13	2/13			
CKD 4–5	3/18	2/18	2/18	5/18	2/18	7/18	0/18	0/18	8/18	3/18			
Dialysis	6/28	3/28	3/28	6/28	2/28	9/28	0/28	1/28	10/28	4/28			
Kidney disease-specific HRQOL													
	KDQOL-36	KDQOL-SF	KDQOL	CHEQ	DSI	WHOQOL-BREF Dial.	POS-S Renal	QLI-D	CKD QOL	KDBI	CKD-SBI		
Time to completion	NR	16 min	30 min	NR	NR	5/15–20 min	NR	5–10 min	NR	NR	Approx. 10 min		
Items	36	80	134	86	30	26	18	68	34	16	25		

Table 3 Summary of selected PRO instruments (N = 35), including psychometric strength, content validity and concept coverage (Continued)

	Generic HRQOL					Generic concept-specific				
	SF-36	EQ-5D	BDI (BDI-II)	PHQ (PHQ-9)	HADS	TMT	HAP	RAPA ^a	QDIS-7 ^a	
Recall	1 d/past 4 wks	Past 4 wks	Past 4 wks	Past 7 d	Past 2-4 wks	1 wk	Past 4 wks	Past month	7 d	
Languages	37	2	41	NR	51	NR	NR	NR	1	
Presence in trials	Yes	No	Yes	Yes	No	Yes	No	No	No	
Presence in labels	No	No	No	No	No	No	No	No	No	
Psychometric strength	Moderate	Weak	Weak	Moderate	Moderate	Moderate	Moderate	Moderate	Moderate	
Population	CKD	ESRD-dialysis	Kidney disease-dialysis	CKD-dialysis	CKD-dialysis	Advanced CKD	ESRD-dialysis & transplant)	ESRD	CKD	
Content validity	Moderate	Strong	Moderate	Strong	Strong	Moderate	Strong	Moderate	Strong	
Population	Kidney disease	Kidney disease-dialysis	Kidney disease-dialysis	Dialysis	CKD (4-5)	CKD (4-5)	Kidney disease-dialysis	Kidney disease	CKD	
Signs/symptoms										
General	9/30	3/30	3/30	16/30	0/30	14/30	2/30	0/30	13/30	
Diabetes	8/19	1/19	2/19	9/19	0/19	9/19	2/19	0/19	8/19	
Anaemia	4/6	1/6	2/6	6/6	0/6	3/6	2/6	0/6	3/6	
CKD 1-3	6/12	2/12	2/12	6/12	0/12	6/12	2/12	0/12	4/12	
CKD 4-5	9/26	3/26	3/26	15/26	0/26	14/26	2/26	0/26	12/26	
Dialysis	10/31	3/31	3/31	17/31	0/31	15/31	2/31	0/31	13/31	
Life impacts										
General	13/28	12/28	12/28	5/28	5/28	2/28	4/28	4/28	2/28	
Diabetes	10/20	12/20	9/20	4/20	4/20	2/20	5/20	2/20	2/20	
Anaemia	9/14	11/14	10/14	2/14	5/14	2/14	4/14	1/14	0/14	
CKD 1-3	8/13	8/13	8/13	2/13	4/13	2/13	4/13	1/13	2/13	
CKD 4-5	10/18	11/18	10/18	5/18	4/18	2/18	5/18	2/18	2/18	
Dialysis	13/28	14/28	12/28	5/28	5/28	2/28	5/28	4/28	2/28	
Time to completion	ESRD-SI	HSS	FAS	FSGS	Kidney disease-specific					
Items	NR	NR	NR	NR	10	10	10	17	17	

Table 3 Summary of selected PRO instruments (N = 35), including psychometric strength, content validity and concept coverage (Continued)

	Generic HRQOL		Generic concept-specific						
	SF-36	EQ-5D	BDI (BDI-II)	PHQ (PHQ-9)	HADS	TMT	HAP	RAPA ^a	QDIS-7 ^a
Recall	NR	NR	Usually	Past 7 d					
Languages	NR	NR	2	NR					
Presence in trials	No	No	No	No					
Presence in labels	No	No	No	No					
Psychometric strength	Moderate	Moderate	Weak	Weak					
Population	ESRD- dialysis	ESRD- dialysis	ESRD- dialysis	NR					
Content validity	Moderate	Moderate	Weak	Moderate					
Population	CKD-dialysis	CKD-dialysis	Fatigue	FSGS					
Signs/symptoms									
General	6/30	7/30	1/30	7/30					
Diabetes	7/19	3/19	1/19	4/19					
Anaemia	5/6	2/6	1/6	3/6					
CKD 1–3	5/12	6/12	1/12	4/12					
CKD 4–5	6/26	7/26	1/26	5/26					
Dialysis	6/31	7/31	1/31	7/31					
Life impacts									
General	0/28	12/28	3/28	13/28					
Diabetes	0/20	7/20	3/20	12/20					
Anaemia	0/14	8/14	2/14	9/14					
CKD 1–3	0/13	7/13	2/13	8/13					
CKD 4–5	0/18	7/18	3/18	11/18					
Dialysis	0/28	12/28	3/28	13/28					

The coverage of signs/symptoms and life impacts by each PRO was categorized as good (≥6 concepts [in bold]), moderate (3–5) or poor (0–2 [in italics])

^aSelected for analysis because of its potential for use in patients with CKD, although it was not among the PRO instruments identified from the data sources

^bInstrument includes the FACT-G module

BPi Brief Pain Inventory, CHEQ CHOICE Health Experience Questionnaire, CKD chronic kidney disease, CKD QOL Chronic Kidney Disease Quality of Life, CKD-SBI Chronic Kidney Disease-Symptom Burden Index, CSI Coping Strategy Indicator, d days, DSI Dialysis Symptom Index, EQ-5D European quality of life – five dimension, ESRD end-stage renal disease, ESRD-SI End-Stage Renal Disease Severity Index, FAACT Functional Assessment of Anorexia/Cachexia Therapy, FACT-F Functional Assessment of Chronic Illness Therapy-Fatigue Scale, FACT-An Functional Assessment of Cancer Therapy-Anemia, FACT-G Functional Assessment of Cancer Therapy-General, FAS Hemodialysis Fatigue Scale, FSGS Focal Segmental Glomerulosclerosis Symptom Impact Questionnaire, HADS Hospital Anxiety and Depression Scale, HAP Human Activity Profile, HGS hand grip strength test, HIV human immunodeficiency virus, HRQOL health-related quality of life, HSS Hemodialysis Stressor Scale, KDBI Kidney Disease Behavior Inventory, KDQOL Kidney Disease Quality of Life, KDQOL-36 Kidney Disease Quality of Life-36, KDQOL-SF Kidney Disease Quality of Life Short Form, NA not applicable, NR not reported, PHQ Patient Health Questionnaire, POS-5 Renal Palliative Care Outcome Scale-Symptoms (Renal), PRO patient-reported outcome, PSQI Pittsburgh Sleep Quality Index, QDIS-7 Quality of Life Disease Impact Scale-7, QL/D Quality of Life Index Dialysis Version, RAPA Rapid Assessment Physical Activity, RLS restless legs syndrome, RLS-6 Restless Legs Syndrome-6 Scale, SDS (Zung) the Zung self-rating depression scale, SF-36 36-Item Short-Form Survey, SKINDEX quality-of-life measure for patients with skin disease, TMT Trail Making Test, VAS Visual Analogue Scale, WHOQOL-BREF Dial. World Health Organization Quality of Life Brief Scale in Dialysis, wk week, WPAl Work Productivity and Activity Impairment

good coverage of the life impacts of CKD across all subpopulations, although coverage of signs/symptoms was limited. Psychometric strength was strong for the SF-36, although evidence of content validity and psychometric validation in the CKD population was limited. The generic concept-specific instruments generally showed either poor or moderate coverage of the signs/symptoms, with only two instruments (Functional Assessment of Cancer Therapy-Anemia [FACT-An] and Functional Assessment of Anorexia/Cachexia Therapy [FAACT]) providing good coverage for any of the CKD subpopulations (Table 3). Over half of these PRO instruments ($n = 12/20$; 60%) also showed only poor or moderate coverage of the life impacts. The kidney disease-specific HRQOL instruments all showed moderate or strong content validity, which was consistent with their development for the target population, while psychometric strength was moderate to weak (Table 3). Coverage of signs/symptoms and life impacts varied widely across the instruments.

Qualitative assessments identified 11 PRO instruments as promising for use in patients with CKD based on content validity and psychometric strength, coverage of signs/symptoms and life impacts, and presence in kidney disease-specific clinical trials and FDA and EMA product labelling. The strengths and weaknesses of these instruments are summarized in Table 4. Three generic concept-specific instruments were considered to have potential for use in CKD (Table 4). FACT-An showed strong psychometric strength, with validation in the CKD-anaemia population, although additional content validation is needed. In addition, it omits such signs/symptoms as numbness, neuropathy and vision-related problems, all common in the CKD-anaemia subpopulation. FAACT showed generally good coverage of the signs/symptoms and life impacts, although this was mainly from the Functional Assessment of Cancer Therapy-General (FACT-G) instrument module, which covers aspects of patient well-being. The Beck Depression Inventory-II (BDI-II) showed good coverage of the relevant specific concepts (i.e. depression), although it had limited content and psychometric validity in CKD.

Among the five kidney disease-specific HRQOL instruments considered promising, the Kidney Disease Quality of Life-36 (KDQOL-36) questionnaire showed strong concept coverage for signs/symptoms and life impacts, although evidence supporting meaningful change thresholds was limited, particularly across subpopulations (Table 4). The Dialysis Symptom Index (DSI) had strong content validity and good coverage of signs/symptoms, but coverage of life impacts was low. The Palliative Care Outcome Scale-Symptoms (Renal) (POS-S Renal) and Chronic Kidney Disease-Symptom Burden Index (CKD-SBI) both had good coverage of signs/symptoms and moderate or strong content validity and psychometric

strength, but coverage of life impacts was limited, and both need further psychometric validation.

The End-Stage Renal Disease Severity Index (ESRD-SI) and the Hemodialysis Stressor Scale (HSS) were the most promising kidney disease-specific PRO instruments, with both instruments demonstrating moderate psychometric strength and content validity (Table 4). Both instruments showed good or moderate coverage of signs/symptoms. Coverage of life impacts was good with HSS, but life impacts were not covered by the ESRD-SI. However, information for both instruments is limited, and further evidence supporting clinically meaningful score change is needed.

Discussion

This literature review and analysis shows that patients with CKD experience a wide range of signs/symptoms and life impacts; 93 different signs/symptoms and life impacts were identified from the selected publications. Pain/discomfort and low energy/fatigue were the most commonly reported signs/symptoms, and anxiety/depression and decrements in physical functioning were the most frequently mentioned life impacts of CKD across all publications. Prevalence (based on highest observed value in the literature; Table 2 and **Supplementary Table S4**) exceeded 70% for each of these signs/symptoms and life impacts.

Variations in the signs/symptoms and life impacts of CKD were observed across the six patient subpopulations, although pain/discomfort and anxiety/depression were prominent across most of them, both in terms of frequency of mentions and prevalence. In addition, the number of signs/symptoms and life impacts increased with increasing disease severity, and the frequency of mentions for signs/symptoms typically increased with disease progression. Although this literature analysis suggests that patients in early stages of CKD (stages 1–3) may already be experiencing the signs/symptoms and life impacts of the disease, this finding should be interpreted with caution. In early-stage disease, it may be difficult to determine whether such signs/symptoms can be attributed to CKD or to other comorbid conditions or factors because the biological basis for signs/symptoms is not understood as well in early disease as in more advanced disease.

Based on the literature analysis, a preliminary conceptual model was developed of patient experience of the signs/symptoms and life impacts of CKD. Signs/symptoms were grouped into seven domains related to pain/discomfort, energy/fatigue, sleep-related, gastrointestinal-related, urinary-related, skin-/hair-/nails-related and other. The life impacts fell into six domains: psychological/emotional strain, cognitive impairment, dietary habit disruption, physical function

Table 4 Promising PROs for patients with CKD: strengths and weaknesses

PRO	Type/coverage of PRO	Strengths ^a	Weaknesses/gaps ^a
SF-36 [35–37]	Generic HRQOL	<ul style="list-style-type: none"> Coverage of life impacts high Presence in trials and labels 	<ul style="list-style-type: none"> Content and psychometric validity
FACT-An [38–40]	Generic concept- specific	<ul style="list-style-type: none"> Psychometric validity (CKD-anaemia) Presence in trials and labels 	<ul style="list-style-type: none"> Coverage of concepts mainly from FACT-G section Content validity
FAACT [41, 42]	Generic concept- specific	<ul style="list-style-type: none"> Presence in trials 	<ul style="list-style-type: none"> Coverage of concepts mainly from FACT-G section No presence in labels
BDI-II [43–45]	Generic concept-specific	<ul style="list-style-type: none"> Coverage of relevant specific concepts; depression Presence in trials and labels 	<ul style="list-style-type: none"> Content and psychometric validity
KDQOL-36 [46–48]	Kidney disease-specific HRQOL	<ul style="list-style-type: none"> Coverage of CKD-specific concepts high Presence in trials Content and psychometric validity (moderate) 	<ul style="list-style-type: none"> No presence in labels Some psychometric validation and content validity data needed
KDQOL [49]	Kidney disease-specific HRQOL	<ul style="list-style-type: none"> Presence in trials Coverage of life impacts high 	<ul style="list-style-type: none"> Coverage of signs and symptoms low (for 134-item version) Content and psychometric validity No presence in labels
DSI [50–52]	Kidney disease-specific HRQOL	<ul style="list-style-type: none"> Content and psychometric validity (moderate) Coverage of CKD-specific signs and symptoms high Presence in trials 	<ul style="list-style-type: none"> Coverage of CKD-specific life impacts low No presence in labels Some psychometric validation needed
POS-S Renal [53, 54]	Kidney disease-specific HRQOL	<ul style="list-style-type: none"> Content and psychometric validity (moderate) Coverage of CKD-specific concepts high Presence in trials 	<ul style="list-style-type: none"> No presence in labels Some psychometric validation needed As a check list, it might be questionable from a regulatory perspective
CKD-SBI [55, 56]	Kidney disease-specific HRQOL	<ul style="list-style-type: none"> Content and psychometric validity (moderate) Coverage of CKD-specific signs and symptoms high 	<ul style="list-style-type: none"> Coverage of CKD-specific signs and symptoms low No presence in trials and labels Some psychometric validation needed
ESRD-SI [57, 58]	Kidney disease-specific concept-specific	<ul style="list-style-type: none"> Content and psychometric validity (moderate) Coverage of CKD-specific signs and symptoms high 	<ul style="list-style-type: none"> No presence in trials and labels Coverage of CKD-specific life impacts low Lack of information found
HSS [59, 60]	Kidney disease-specific concept-specific	<ul style="list-style-type: none"> Content and psychometric validity (moderate) Coverage of CKD-specific concepts high 	<ul style="list-style-type: none"> No presence in trials and labels Lack of information found

^aDescribed presence in labels is not CKD-specific

BDI-II Beck Depression Inventory-II, *CKD* chronic kidney disease, *CKD-SBI* Chronic Kidney Disease-Symptom Burden Index, *DSI* Dialysis Symptom Index, *ESRD-SI* End-Stage Renal Disease Severity Index, *FAACT* Functional Assessment of Anorexia/Cachexia Therapy, *FACT-An* Functional Assessment of Cancer Therapy-Anemia, *FACT-G* Functional Assessment of Cancer Therapy-General, *HRQOL* health-related quality of life, *HSS* Hemodialysis Stressor Scale, *KDQOL* Kidney Disease Quality of Life, *KDQOL-36* Kidney Disease Quality of Life-36, *POS-S Renal* Palliative Care Outcome Scale-Symptoms (Renal), *PRO* patient-reported outcomes, *SF-36* 36-Item Short-Form Survey

decrements, interference with social relationships and other. Analyses revealed differences in concepts across the prespecified subpopulations. For example, only pain/discomfort, energy/fatigue and “other” signs/symptoms were observed in the anaemia subpopulation. However, these findings may have been influenced by the limited number of publications available for some subpopulations. Understanding what experiences are most common in patients with CKD is an important guide for clinical research and real-world patient care practices. Our preliminary conceptual model of patient experiences in CKD is based on the existing literature, including patient interview studies. An important future step is to refine the conceptual

model based on direct input from patients and clinical experts [13].

PRO instruments are important for capturing patient experience of disease and treatments, the value of which has increasingly been recognized in the drug development process [12, 13, 61]. To provide meaningful data, however, PRO instruments have to be readily understood by patients and assess the disease concepts they consider to be most important [12, 14, 15]. Among the 35 PRO instruments evaluated in this analysis, many provided only limited coverage of the signs/symptoms and life impacts for CKD identified from the literature, even among kidney disease-specific instruments, suggesting that additional population-specific work is

required. Furthermore, psychometric strength was typically moderate or weak, and only two of the PRO instruments were rated as strong in CKD. This emphasizes the need for further investigations of psychometric strength for most CKD PRO instruments.

Based on our analyses, we considered 11 PRO instruments promising for use in CKD, although all have limitations or evidence gaps. Many of the PRO instruments showed limited psychometric validation in CKD, and the generic PRO instruments assessing HRQOL or concept-specific outcomes showed limited content validity in CKD. Although the generic HRQOL assessment questionnaire SF-36 showed good coverage of life impacts, its value in the clinical trial setting may be limited because other influencing, intervening factors may also affect these HRQOL outcomes over time. Disease-specific HRQOL measures, such as KDQOL-36 (which showed good concept coverage), also face similar challenges to the generic HRQOL measures because outcomes that are more proximal to the disease or treatment, such as symptoms, are more likely to show a meaningful treatment effect than downstream consequences, such as HRQOL, which are influenced by a range of factors. Given observed limitations, a potential next step would be to use the results of the preliminary conceptual modelling and PRO instrument analysis to inform the adaptation of existing instruments or the development of new instruments to more comprehensively and accurately capture the patient experience of CKD for use in clinical trials and, potentially, patient care.

Study limitations

Although the literature review was carefully designed and conducted, it does have limitations. First, there was limited relevant literature on patient experiences in some of the prespecified CKD subpopulations (i.e. dyskalaemia and cardiovascular complications). This highlights the need for focused qualitative research and conceptual modelling in these subpopulations. Second, prevalence data for CKD signs/symptoms and life impacts were limited, driven by the inconsistent inclusion of such concepts in PRO instruments. Third, there may be overlap between some concepts identified in the literature, for example between anxiety/depression, mental impact and mood change/irritability. Fourth, the review was not fully systematic; data were analysed for a subset of 100 articles selected from the relevant publications identified by the screening process. This could potentially have led to an incomplete picture of CKD-related signs/symptoms and life impacts. However, articles were selected based on prespecified criteria, and publications covering the CKD subpopulations of interest were prioritized.

Conclusions

This literature review demonstrated the wide range of signs/symptoms and life impacts experienced by patients with CKD, of which pain/discomfort, energy/fatigue, anxiety/depression and decrements in physical functioning were the most prominent. We proposed a preliminary conceptual model of patient experience in CKD, grouping related signs/symptoms and life impacts into seven and six domains, respectively. Analysis of PRO instruments, which included coverage of the signs/symptoms and life impacts, showed limited coverage of the CKD experiences identified from the literature, even among kidney disease-specific instruments. Of the 11 promising PRO instruments identified for use in CKD, all had limitations. Direct patient input is an important next step for refining our conceptual model of patient experience in CKD and assessing PRO instruments that capture its constructs. Although preliminary, the proposed conceptual model highlights key PROs for patients with CKD and is intended to spur development of more tailored PRO instruments to assess these concepts across the entire CKD population as well as key subpopulations.

Abbreviations

BDI-II: Beck Depression Inventory-II; CKD: Chronic kidney disease; CKD-SBI: Chronic Kidney Disease-Symptom Burden Index; COSMIN: Consensus-Based Standards for the Selection of Health Measurement Instruments; DSI: Dialysis Symptom Index; EMA: European Medicines Agency; ESRD-SI: End-Stage Renal Disease Severity Index; FAACT: Functional Assessment of Anorexia/Cachexia Therapy; FACT-An: Functional Assessment of Cancer Therapy-Anemia; FACT-G: Functional Assessment of Cancer Therapy-General; FDA: US Food and Drug Administration; GFR: Glomerular filtration rate; HRQOL: Health-related quality of life; HSS: Hemodialysis Stressor Scale; HTA: Health Technology Assessment; KDQOL: Kidney Disease Quality of Life; KDQOL-36: Kidney Disease Quality of Life-36; POS-S Renal: Palliative Care Outcome Scale-Symptoms (Renal); PRO: Patient-reported outcome; RCT: Randomised controlled trial; SF-36: 36-Item Short-Form Survey

Supplementary Information

The online version contains supplementary material available at <https://doi.org/10.1186/s12882-021-02440-9>.

Additional file 1: Table S1 Search terms used for the initial PubMed literature search. **Table S2** Predefined inclusion and exclusion criteria for selecting publications for review. **Table S3** PRO instrument analysis. **Table S4** Signs/symptoms: mentions and prevalence for all publications (N=100). **Table S5** Life impacts: mentions and prevalence for all publications (N = 100). **Table S6** Signs/symptoms: mentions and prevalence by subpopulation. **Table S7** Life impacts: mention and prevalence by subpopulation. **Table S8** List of the 138 candidate PRO instruments screened for inclusion in the full analysis Generic HRQOL

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Authors' contributions

Research conception and/or design: JEF, NK, AS, PC, AG, HC, AR; data acquisition, analysis and/or interpretation: JEF, NK, AS, PC, AG, HC, AR JS, RI;

drafting and/or substantial revision of manuscript: JEF, NK, AS, PC, AG, HC, AR JS, RI. All authors accept accountability for their contributions and agree as a condition of authorship to ensure resolution of questions about the work. All authors approved the submitted version.

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Availability of data and materials

Data for this review were identified by searching PubMed, EMBASE and Cochrane databases and recent society meetings. The included publications are cited in the manuscript and included in the reference list.

Declarations

Ethics approval and consent to participate

Not applicable.

Consent for publication

Not applicable.

Competing interests

In the last 2 years, JEF has received speaking honoraria from the American Society of Nephrology and multiple universities as well as investigator-initiated research funding from the Renal Research Institute, a subsidiary of Fresenius Kidney Care, North America. J.E.F. is on the medical advisory board to NxStage Medical, now owned by Fresenius Kidney Care, North America, and has received consulting fees from Fresenius Kidney Care, North America and AstraZeneca. NK, AS, HC, and AR are employees of AstraZeneca and hold shares in AstraZeneca. At the time of the study, PC was an employee of IQVIA, which received funds from AstraZeneca to conduct the review. AG is an employee of Goldfinch Bio, is the Vice Chair of the Kidney Health Initiative Patient and Family Partnership Council, and is the Vice Captain of Region 3 at the National Kidney Foundation.

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